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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application Serial No.	09/746,936
Filing Date	Dec 22, 2000
Confirmation No.	
Inventor	Parupudi et al.
Applicant Micro	
Group Art Unit	2144
Examiner MANIWA	
Attorney's Docket No	MS1-697US
Title: Methods and Systems for Context-Aware Policy Determination	
Enforcement	

INFORMATION DISCLOSURE STATEMENT AND CERTIFICATION UNDER 37 CFR 1.97(e)

The citations listed, copies attached, may be material to the examination of the subject application and are therefore submitted in compliance with the duty of disclosure defined in 37 CFR §1.56. The Examiner is requested to make these citations of official record in this application.

I hereby certify that to my knowledge, after reasonable inquiry, that each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the statement.

Furthermore, each item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in §1.56(c) more than thirty days prior to the filing of the information disclosure statement.

Date: 9/3/04

Respectfully submitted,

Lance R. Sadler Reg. No. 38,605



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Substitute for form 1449A/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(use as many sheets as necessary)

Sheet 1 of

Complete if Known					
Application Number 09/746,936					
Filing Date	Dec 22, 2000				
First Named Inventor	Parupudi				
Group Art Unit	2144				
Examiner Name	MANIWANG, JOSEPH R				
Attorney Docket Number	MS1-697US				

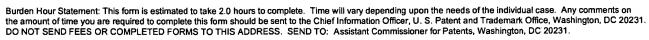
	U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No.1	U.S. Paten	t Document Kind Code ² (if known)	Name of Patentee or Applicant	Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		5611050		Theimer et al.	03/11/1997	
		6201973		Kowaguchi	03/13/2001	

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	FOREIGN PATENT DOCUMENTS							
Examiner Initials*	Cite No.1	Foreign Patent Document Comparison		Kind Code ⁵ Applicant of Cited Document Cited Document		Date of Publication of Cited Document MM-DD-YYYY	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T6
			EP 0817444		Sun Microsystems Inc.	01/07/1998		
			WO 99/65207		Microsoft Corporation	12/16/1999		
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Examiner		Date	
Signature	4.2	Considered	

¹ Unique citation designation number. ² See attached Kinds of U.S. Patent Documents. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.



^{*}EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

ease type a plus sign (+) inside this box ightarrow \mid + PTO/SB/21 (08-00) Approved for use through 10/31/2002. OMB 0651-0031 U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of Information unless it displays a valid OMB control number. Application Number 09/746.936 TRANSMITTAL Filing Date Dec 22, 2000 **FORM** First Named Inventor Parupudi (to be used for all correspondence after initial filing) Group Art Unit 2144 MANIWANG, JOSEPH R **Examiner Name** Attorney Docket Number MS1-697US Total Number of Pages in This Submission **ENCLOSURES** (check all that apply) After Allowance Communication Assignment Papers Fee Transmittal Form (for an Application) Appeal Communication to Board Fee Attached Drawing(s) Sheets of Appeals and Interferences Appeal Communication to Group Licensing-related Papers Amendment / Reply (Appeal Notice, Brief, Reply Brief) Petition After Final Proprietary Information Petition to Convert to a Affidavits/declaration(s) **Provisional Application** Status Letter Power of Attorney, Revocation Change of Correspondence Address Other Enclosure(s) (please Extension of Time Request identify below): Form PTO-1449; reference copies (4); Terminal Disclaimer **Express Abandonment Request** return receipt postcard Request for Refund Information Disclosure Statement CD, Number of CD(s). Certified Copy of Priority Document(s) Remarks Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Lance R. Sadler, Reg. No. 38,605 Individual name

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Lance R. Sadler, Reg. No. 38,605
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